

**American Geophysical Union (AGU)  
Securities Transfer Request Form**

Shares can be directly transferred from your brokerage account to AGU's account. Complete the form below and send a signed copy to your broker. Only your broker can initiate the transfer. Some brokerage firms require this letter to be notarized. Please check with your broker about additional requirements.

*Please fax a copy of this completed form to Joanna Tahar at 202.777.7472*

**DATE** \_\_\_\_\_  
**TO** \_\_\_\_\_ (Broker Name)  
\_\_\_\_\_ (Brokerage Firm)  
\_\_\_\_\_ (Broker Phone Number)

**Please accept this "letter" as your authorization to irrevocably transfer:**

\_\_\_\_\_ (name of stock) \_\_\_\_\_ (number of shares)  
\_\_\_\_\_ (name of stock) \_\_\_\_\_ (number of shares)  
\_\_\_\_\_ (name of stock) \_\_\_\_\_ (number of shares)

**which you hold in the name of**

\_\_\_\_\_ (account title at brokerage firm)  
**from my account number** \_\_\_\_\_ **to the account of AGU:**

**A/C#: 8547612815557 Transfer#: 0418**

Smith Barney  
411 108th Avenue NE  
Suite 1600  
Bellevue WA 98004  
Direct 425.453.3460  
Toll Free 800.426.3348  
Fax 425.453.3467

AGU Tax ID: 52-0955532

**Signature(s) of account owner(s):**

\_\_\_\_\_

*Please provide AGU with my name as donor of this gift.*

**Gift Designation (purpose of gift)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_